"Express Mail" Label No. EL670054743US Date of Deposit August 30, 2001

Attorney Docket No.: 16869P-031600US Client Reference No.: 340001950US1

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroshi Arakawa, et al.

Application No.:

Filed: Herewith

For: Backup Processing Method

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Hiroshi Arakawa, et al. Application No.: Page 4

Respectfully submitted,

When C Cohull

Robert C. Colwell Reg. No. 27,431

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 Tel: 650-326-2400

Fax: 650-326-2422

RCC:mks

PA 3167140 v1



#3 C. Bares PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031'
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB controller under the Paperwork Reduction Reduction

Substitut	e for form 1449A/PTC)		Complete if Known		
				Application Number		800
INFC	PRMATION	DIS	CLOSURE	Filing Date		O NA
STA [*]	TEMENT B'	Y A	PPLICANT	First Named Inventor	Arakawa, Hiroshi	3
				Group Art Unit		0
	(use as many she	ets as	necessary)	Examiner Name		50.
Sheet	1	of	2	Attorney Docket Number	16869P-031600US	

U.S. PATENT DOCUMENTS							
Examiner Initials *	Cite No.1	U.S. Pa	itent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number	Kind Code ² (if known)				
		ļ · · · · · · · · · · · · · · · · · ·					
		-		 		· · · · · · · · · · · · · · · · · · ·	
		<u> </u>	-				
	<u> </u>	-		1		·	
	<u> </u>	<u> </u>					
		 					
							
			,				
	ļ	ļ					
	ļ	ļ					
	ļ	 		· · · · · · · · · · · · · · · · · · ·			
	ļ		·	-			
	 	1					

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee	Date of Publication of	Pages, Columns, Lines,	-
		Office ³	Number ⁴	Kind Code ⁵ (if known)	or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T [®]
	A1	JP	2000-242437	' L	Hitachi, Ltd.	09-08-2000		Abstract
			 -					
			-	_ 				
			· ·					
	L	l	J					

Examiner Signature	Date Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PA 3167141 v1

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.